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# IWH-FDI-Micro-Database

## Questionnaire Survey 2008

**In East Germany (including Berlin)**

1. Round Services

2. Round Manufacturing

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We would like to thank for support and valuable advice by the Zentrum für Sozialforschung (zsh) Halle e.V., Germany Trade and Invest (Berlin), Dr. Johannes Stephan (TU Freiberg), and Prof. Mark Knell (NIFU-STEP) as IWH-Research Professor. The IWH remains responsible for the content of the survey.

**1. How many employees do currently work in your firm?**

*Note: The number of employees entails full and half time employees, however, no internships, leasing workers or temporary personnel.*

Employees	Today
Number	

**2. How will the total number of employees develop in 2009 in comparison to today? Please indicate the option  that applies.**

Strongly decrease	Decrease	Remain unchanged	Increase	Strongly increase

**3. Please indicate the total turnover that you expect for your firm in 2008.**

*Note: In case your establishment is a bank, turnover is the sum of earnings from brut interest and commission/fees from own and commission business. In case of an insurance company, turnover is the sum of earnings from premium.*

*The turnover relates to the current calendar year, if this does not apply to your firm please indicate the turnover for the corresponding fiscal year.*

	Expected for 2008
Total turnover of your firm (in Euro)	

**4. How will the total turnover develop in 2009 in comparison to the current year? Please indicate the option  that applies.**

Strongly decrease	Decrease	Remain unchanged	Increase	Strongly increase

**5. Please indicate the amount of total investment made by your firm in Germany in the period from 2005 to 2007.**

	2005 until 2007
Total investment of your firm in Germany (in Euro)	

**6. If you undertook investment in the period from 2005 to 2007, please indicate the share of total investment that was directed at expanding the business.**

*Note: Investment directed at expanding the business rather than existing capital stock includes investment in new buildings, machinery, equipment, and software.*

	2005 until 2007
Share of investment directed at expansion (in %)	

**7. How will your firms' investment in Germany develop in the period from 2008 to 2010 in comparison to the period 2005 to 2007? Please indicate the option  that applies.**

Strongly decrease	Decrease	Remain unchanged	Increase	Strongly increase

**8. Did your firm undertake any foreign direct investment (FDI) in the period from 2005 to 2007? Please indicate the option  that applies.**

*Note: Foreign direct investment involves the acquisition of a minimum of 10 per cent of equity in an existing legally independent enterprise abroad or the creation of a legally independent subsidiary/affiliate under your own control abroad. We do not refer to a subsidiary/affiliate under control of any of your own foreign and/or West German shareholders.*

	2005 until 2007
Yes	
No	

**If your answer to question 8 is "Yes", please continue with question 8.1 to 8.3.2, if it is "No", please go to question 9.**

**8.1 Please indicate the total amount of FDI of your firm in the period from 2005 to 2007.**

	2005 until 2007
Total amount of FDI (in Euro)	

**8.2. Please indicate in which of the following regions your firm undertook FDI. Please indicate all options  that apply (multiple entries possible).**

Region	2008 until 2010
North America (USA and Canada)	
EU-15 <sup>1</sup>	
Switzerland – Norway – Iceland	
New EU member states (Accession 2004 und 2007) <sup>2</sup>	
Remaining South East Europe <sup>3</sup>	
Commonwealth of Independent States (CIS) <sup>4</sup>	
China	
India	
South-East Asia <sup>5</sup>	

**Please state any unlisted locations here ...**

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**8.3.1 Does your firm's FDI involve an enterprise working at a particular stage in the production process of your final product or the delivery of your service? Please indicate the option  that applies.**

FDI involves particular part of your production chain	2005 until 2007
Yes	
No	

<sup>1</sup> EU-15: France, Great Britain, Ireland, Denmark, Sweden, Finland, Spain, Portugal, Netherlands, Belgium, Luxembourg, Greece, Italy.

<sup>2</sup> New EU member states: Slovenia, Estonia, Lithuania, Latvia, Poland, Hungary, Slovakia, Czech Republic, Malta, Cyprus (2004); Bulgaria and Rumania (2007).

<sup>3</sup> Remaining South East Europe: Croatia, Serbia, Serbia-Montenegro, Bosnia-Herzegovina, Albania, Macedonia, Kosovo.

<sup>4</sup> GUS: Armenia, Azerbaidshan, Byelorussia, Georgia, Kazakhstan, Kyrgyzstan, Moldavia, Russia, Tadzhikistan, Turkmenistan, Ukraine, Uzbekistan.

<sup>5</sup> South East Asia: Brunei, Indonesia, Cambodia, Laos, Malaysia, Myanmar, Philippines, Singapore, Thailand, East Timor, Vietnam.

**8.3.2** And (or) does your firm's FDI involve an enterprise that produces a final product or delivers a service completely in a similar manner as your own firm in East Germany? Please indicate the option  that applies.

Activity abroad similar to that conducted in East Germany	2005 until 2007
Yes	
No	

**9.** Does your firm plan any foreign direct investment in the period from 2008 to 2010?

Foreign direct investment planned	2008 until 2010
Yes	
No	

If your answer to question 9 is „Yes“, please continue with questions 9.1 to 9.2, if it is „No“, please move on to question 10.

**9.1** Please indicate the total amount foreign direct investment earmarked by your firm for the period from 2008 to 2010.

	2008 until 2010
Amount of FDI planned (in Euro)	

**9.2** Please indicate for which of the following regions your firm has earmarked the foreign direct investment in the period from 2008 to 2010. Please indicate all options  that apply (multiple entries possible).

Region	2008 until 2010
North America (USA and Canada)	
EU-15	
Switzerland – Norway – Iceland	
New EU member states (Accession 2004 und 2007)	
Remaining South East Europe	
Commonwealth of Independent States (CIS)	
China	
India	
South-East Asia	

Please indicate any other unlisted location here ...

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**10. Please indicate the expected share of exports in total sales of your firm in the current year.**

	Expected 2008
Exports (share of total sales)	

**11. How will the share of exports develop in 2009 in comparison to the current year? Please indicate the option  that applies.**

Strongly decrease	Decrease	Remain unchanged	Increase	Strongly increase

**12. Please indicate the expected value of total intermediate inputs and other supplies as a share of total turnover in 2008.**

*Note: Intermediate inputs are raw materials and supplies, merchandise, hired labour, external services, rents, leasing, and other costs (including costs related to promotion, representation, travel, commission, licence fees, postal charges, insurance premium, legal cost, administration and banking fees as well as contributions to chambers of commerce and professional associations).*

	Expected 2008
Intermediate inputs and supplies (in % of total sales)	

**13. Did your firm undertake any own research and development (R&D) or did it issue any R&D contracts to external providers in the period from 2006 to 2008?**

*Note: Research and Development refers to experimental development, i.e. systematic creative work to broaden existing knowledge, to gain general applicable insights as well as the use of such knowledge for development of new products, services, and processes.*

Research and Development (R&D)	2006 until 2008
Yes	
No	

If your answer to question 13 is „Yes“, please continue with question 14 to 17, if it is „No“, please go to question 13.1.

**13.1** Does your firm plan to conduct own R&D or to issue external R&D contracts in the year 2009?  
Please indicate the option  that applies.

Research and Development planned	2009
Yes	
No	

Please continue with question 18.1 if your firm did not conduct any R&D in the period from 2006 to 2008.

**14.** Please indicate your firm’s expected R&D expenditures as a share of total turnover in 2008.

*Note: R&D expenditure involves spending on internal and external R&D. Internal R&D expenditures refer to R&D personnel, tangible assets, and investment. External expenditures are related to R&D contracts with related or external firms or institutions. If you did not have any expenditure during 2008, please enter zero.*

R&D expenditure	Expected 2008
R&D expenditures (in % of total sales)	

**14.1** How will your firm’s R&D expenditures develop in 2009 in comparison to the current year?  
Please indicate the option  that applies.

Strongly decrease	Decrease	Remain unchanged	Increase	Strongly increase

**15.** How many of your firms’ employees work in the area of R&D currently? Please enter zero, if you have not any R&D employees currently.

*Note: All persons employed directly on R&D should be counted, as well as those providing direct services such as R&D managers, administrators, and clerical staff. Please indicate the number in full time equivalence.*

R&D employees	Today
Number	

**15.1 How will your firm's number of R&D employees develop in 2009 in comparison to the current year? Please indicate the option  that applies.**

Strongly decrease	Decrease	Remain unchanged	Increase	Strongly increase

**16. Did your firm undertake any R&D cooperation with other firms or institutions in the period from 2006 to 2008?**

*Note: R&D cooperation does involve an active participation of your firm in projects jointly undertaken with other firms or non-commercial higher education/research institutions. This does not imply that both parties extract an economic value from this cooperation. Pure R&D contracts without any active participation of your firm are not considered as an R&D cooperation.*

R&D cooperation	2006 until 2008
Yes	
No	

**If your answer to question 16 is "yes", please also fill in question 16.1, if it is „No“, please go to question 17.**

**16.1 With which of the following partners did your firm enter into an R&D cooperation? Please indicate all options  that apply.**

Potential R&D cooperation partners	Yes	No
Headquarter or another part of your enterprise group		
External suppliers located in East Germany		
External customer firms located in East Germany		
Research institutions located in East Germany		
External firms or institutions located outside East Germany		



**17. Did your firm create any inventions that subsequently led to patent applications in the period from 2006 to 2008?**

*Note: It does not matter whether your firm filed the patent application or a headquarter, related firm, or individual person. Yet, it is important that it was based on R&D activity that was fully or partially conducted by your firm.*

Patent applications	2006 until 2008
Yes	
No	

The final two questions deal with the issue of innovation in your firm. Innovations should be new for your firm, not necessarily for the market. It is not important whether the innovation was implemented by your firm alone or in cooperation with any other firm or institutions.

**18.1 Did your firm implement any product innovation(s) in the period from 2006 to 2008? Please indicate the option  that applies.**

*Note: A product innovation is the introduction of a good or service that is new or significantly improved with respect to its characteristics or intended uses. This includes significant improvements in technical specifications, components and materials, incorporated software, user friendliness or other functional characteristics. Purely aesthetic modifications of products (such as colour, style, and packaging) are no product innovation. The pure sale of an innovation that was neither developed nor produced in your firm does not constitute a product innovation in that sense.*

Product innovation(s)	2006 until 2008
Yes	
No	

**18.2 Did your firm implement any process innovation(s) in the period from 2006 to 2008? Please indicate the option  that applies.**

*Note: A process innovation is the implementation of a new or significantly improved production or delivery method. This includes significant changes in techniques, equipment and/or software. Process innovations can be intended to decrease unit costs of production or delivery, to increase quality, or to produce or deliver new or significantly improved products. Processes that were newly introduced to facilitate product innovations are also considered as a process innovation. Pure organisational changes or the introduction of new management techniques are not counted as process innovations in that sense.*

Process innovation(s)	2006 until 2008
Yes	
No	

**Thanks a lot for your valuable information and time. Please let us know whether you would like to receive the results of the survey.**